



STATE OF MISSOURI - OFFICE OF SECRETARY OF STATE

MATT BLUNT

SECRETARY OF STATE

JEFFERSON CITY, MO, 65102 – (573) 751-4704

Information Sheet

Investor Information

Your Name _____ Date of Birth _____

Address _____

City _____ State _____ Zip _____

Telephone () _____ Business Telephone () _____

Company and Salesperson Information

Name of Company _____

Name of Salesperson _____

Address _____

City _____ State _____ Zip _____

Telephone () _____

Investment Information

1. Explain what you were offered: _____

2. How much money did you invest? _____ Payment was made by: cash check other

3. Date of investment(s): _____ Place of Investment(s): _____

4. Please give a brief explanation of how you gained knowledge of this investment and exactly what you were told about the investment.

a. What were you told your money would be used for? _____

b. What amount of return were you promised? _____

c. What were you told about the risks of this investment? _____

d. Did you receive a prospectus or other information regarding the financial condition of the company?

e. Did the seller give you information that later turned out to be untrue? _____

If yes, please explain: _____

f. Did you sign a contract? _____

5. Have you contacted an attorney or any other government agency? _____

If yes, who? _____

6. Have you asked for the return of your initial investment? _____ If so, did you receive it? _____

7. Please provide the names, addresses, and telephone numbers of any other investor:

Documents

8. Please attach copies of the following documents:

stock certificates/bonds/investment certificates
confirmations of purchases
cancelled checks (front and back)
contracts
any correspondence or advertising relating to the investment

Signature: _____

Printed name: _____ Date: _____

If you are seeking the return of your invested funds, please consult with private counsel. The State cannot represent you or pursue your private claims in court.

If you have any question about this form, please call the investor hotline at: 1 (800)-721-7996.

Please mail all correspondence to:

*Office of the Secretary of State
Securities Division
P.O. Box 1276
600 West Main Street
Jefferson City, Mo 65102*

To file a complaint via the Internet: <http://www.sos.mo.gov/securities/complaint.asp>

To send your complaint via e-mail: securi@sosmail.state.mo.us

(If you file your complaint via the internet or via e-mail be sure and send the documents requested above.)